

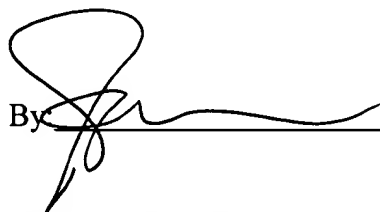


CERTIFICATE OF EXPRESS MAIL
(PATENT)

Attorney Docket No. 05222.00165
Confirmation No. 6533

Express Mail No. EL 995824177 US
Deposited May 6, 2004

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR §1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, DC 20231.

By  _____

Nichols, U.S. Patent Application No. 09/868,752 for "A GOAL BASED FLOW OF A CONTROL PRESENTATION SYSTEM"

- Transmittal Form (in duplicate)
- Fee Transmittal (in duplicate)
- Information Disclosure Statement (8 pages)
- PTO/SB/08A (5 pages)
- 86 References
- Return Receipt Postcard

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PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|-------------------|
| Application Number | 09/868,752 |
| Filing Date | September 4, 2001 |
| First Named Inventor | Nichols |
| Group Art Unit | 2121 |
| Examiner Name | Unassigned |
| Attorney Docket Number | 05222.00165 |

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

PTO/SB/08A

86 References

Certificate of Express Mail

Return Receipt Requested

Remarks

The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed.

Express Mail No. EL 995824177 US

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Kenneth F. Smolik
Banner & Witcoff, Ltd.

MAY 11 2004

Signature

Kenneth F. Smolik

Technology Center 2100

Date

May 6, 2004

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FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete if Known

Application Number 09/868,752

Filing Date September 4, 2001

First Named Inventor Nichols

Examiner Name Bell

Art Unit 2121

Attorney Docket No. 005222.00165

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MAY 11 2004

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METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit Account Number 19-0733

Deposit Account Name Banner & Witcoff, Ltd.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|------------------------|----------|
| 1001 | 2001 | 750 | 375 | | | Utility filing fee | |
| 1002 | 2002 | 330 | 165 | | | Design filing fee | |
| 1003 | 2003 | 520 | 260 | | | Plant filing fee | |
| 1004 | 2004 | 750 | 375 | | | Reissue filing fee | |
| 1005 | 2005 | 160 | 80 | | | Provisional filing fee | |

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| | 0 | 0 | 0 |
| Independent Claims | | 0 | 0 |
| Multiple Dependent | | 0 | 0 |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|--------------|--------------|----------|----------|----------|----------|------------------------------------------------------------|
| 1202 | 2202 | 18 | 9 | | | Claims in excess of 20 |
| 1201 | 2201 | 84 | 42 | | | Independent claims in excess of 3 |
| 1203 | 2203 | 280 | 140 | | | Multiple dependent claim, if not paid |
| 1204 | 2204 | 84 | 42 | | | ** Reissue independent claims over original patent |
| 1205 | 2205 | 18 | 9 | | | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|----------------------------------------------------------------------------|----------|
| 1051 | 2051 | 130 | 65 | | | Surcharge - late filing fee or oath | |
| 1052 | 2052 | 50 | 25 | | | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 1053 | 130 | 130 | | | Non-English specification | |
| 1812 | 1812 | 2,520 | 2,520 | | | For filing a request for <i>ex parte</i> reexamination | |
| 1804 | 1804 | 920* | 920* | | | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1805 | 1,840* | 1,840* | | | Requesting publication of SIR after Examiner action | |
| 1251 | 2251 | 110 | 55 | | | Extension for reply within first month | |
| 1252 | 2252 | 410 | 205 | | | Extension for reply within second month | |
| 1253 | 2253 | 930 | 465 | | | Extension for reply within third month | |
| 1254 | 2254 | 1,450 | 725 | | | Extension for reply within fourth month | |
| 1255 | 2255 | 1,970 | 985 | | | Extension for reply within fifth month | |
| 1401 | 2401 | 320 | 160 | | | Notice of Appeal | |
| 1402 | 2402 | 320 | 160 | | | Filing a brief in support of an appeal | |
| 1403 | 2403 | 280 | 140 | | | Request for oral hearing | |
| 1451 | 1451 | 1,510 | 1,510 | | | Petition to institute a public use proceeding | |
| 1452 | 2452 | 110 | 55 | | | Petition to revive - unavoidable | |
| 1453 | 2453 | 1,300 | 650 | | | Petition to revive - unintentional | |
| 1501 | 2501 | 1,300 | 650 | | | Utility issue fee (or reissue) | |
| 1502 | 2502 | 470 | 235 | | | Design issue fee | |
| 1503 | 2503 | 630 | 315 | | | Plant issue fee | |
| 1460 | 1460 | 130 | 130 | | | Petitions to the Commissioner | |
| 1807 | 1807 | 50 | 50 | | | Processing fee under 37 CFR 1.17 (q) | |
| 1806 | 1806 | 180 | 180 | | | Submission of Information Disclosure Stmt | 180 |
| 8021 | 8021 | 40 | 40 | | | Recording each patent assignment per property (times number of properties) | |
| 1809 | 2809 | 750 | 375 | | | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 2810 | 750 | 375 | | | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 2801 | 750 | 375 | | | Request for Continued Examination (RCE) | |
| 1802 | 1802 | 900 | 900 | | | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|-------------------|----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Kenneth F. Smolik | Registration No. Attorney/Agent) | 44,344 | Telephone | 312-463-5000 |
| Signature | Kenneth F. Smolik | | | Date | May 6, 2004 |

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Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231